

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2404094
<b>Application Number:</b>	09688392
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2870
<b>Title of Invention:</b>	System and method for trading taxable and non-taxable securities
<b>First Named Inventor/Applicant Name:</b>	Thomas C. Naratil
<b>Customer Number:</b>	21890
<b>Filer:</b>	Breda Donovan/Elizabeth Tavarez
<b>Filer Authorized By:</b>	Breda Donovan
<b>Attorney Docket Number:</b>	74622-015
<b>Receipt Date:</b>	31-OCT-2007
<b>Filing Date:</b>	16-OCT-2000
<b>Time Stamp:</b>	14:53:10
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 1270
RAM confirmation Number	265
Deposit Account	162500

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:  
 Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment After Final	74622-015amendment.pdf	817899 d1eed0caab1a66d7054952db94c3e347 5cbfcda1	no	15
<b>Warnings:</b>					
<b>Information:</b>					
2	Request for Continued Examination (RCE)	74622-015sb0030eRCE.pdf	737974 deb2adbd494811df68390049853e732 3a8ac4d5	no	3
<b>Warnings:</b>					
<b>Information:</b>					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8319 782b5d4d9589af193a065c895b668066 016b09a8	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				1564192	
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b>New Applications Under 35 U.S.C. 111</b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b>National Stage of an International Application under 35 U.S.C. 371</b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b>New International Application Filed with the USPTO as a Receiving Office</b>  If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					